Global Security Verification Report

GLOBAL SECURITY VERIFICATION

Facility Name: M/s ASHISH HOMES

Country:	**India**	Assessment Stage:	**Annual**
Date of Verification:	**12-Feb-2024**	Report Number:	**A5139701**
Last Verification Date:	**NA**	Site ID:	**F_IAR_174645**
Auditor Primary:	**Mr. Manish Sudhakar**	Service Provider:	**Intertek**
Auditor Secondary:	**NA**	Audit Location:	**Onsite**

OVERALL FACILITY SCORE	**89%**	🟩

PERFORMANCE INDEX BAR

High Risk Priority (0-75%)   **Medium Risk Priority (76-85%)**   **Low Risk Priority (86-100%)**

This Facility 89%
Average Country 87%
Average Global 84%
Industry Average 82%

Participating Facilities: 8048

The C-TPAT Security Criteria and Guidelines indicate that "Must" is a requirement of the program participation. "Should" means that the procedure is considered to be an industry "best practice."

PERFORMANCE SUMMARY

Section Name	Score	Must - High	Must - Low	Should - High	Should - Low
Corporate Security	**98%**	**0**	**0**	**0**	**1**
Security Vision and Responsibility	98%	0	0	0	1
Risk Assessment	100%	0	0	0	0
Business Partners	100%	0	0	0	0
Cyber Security	100%	0	0	0	0
Transportation Security	**91%**	**0**	**0**	**1**	**1**
Conveyance and Instruments of International Traffic Security	91%	0	0	1	1
Seal Security	100%	0	0	0	0
Procedural Security	100%	0	0	0	0
Agricultural Security	NA	-	-	-	-
People and Physical Security	**83%**	**0**	**0**	**6**	**0**
Physical Security	90%	0	0	2	0
Physical Access Controls	90%	0	0	2	0
Personnel Security	100%	0	0	0	0
Education, Training and Awareness	90%	0	0	2	0
Overall	**89%**	**0**	**0**	**7**	**2**

Intertek's GSV integrates multiple global supply-chain security initiatives, including C-TPAT (Customs Trade Partnership Against Terrorism), PIP (Partners in Protection) and AEO (Authorized Economic Operators).

A. FACILITY PROFILE

A1. FACILITY INFORMATION

Facility Name:	M/s ASHISH HOMES	Contact Name:	Mr. Ashish Gupta
Facility Legal Name:	Ashish Homes	Contact Title:	Partner
Audit Location:	G-87 to 94(A), RIICO Industrial Area Bagru (Exten.) Phase II, Jaipur, Rajasthan, India	Email:	ashish@ashishhomes.com
City:	Jaipur	Country:	India

A2. EMPLOYEE INFORMATION

NUMBER OF EMPLOYEES

Range	Actual Total	Permanent	Temporary	Foreign	Migrant
50 or less	25(100%)	21(84%)	0(0%)	0(0%)	1(4%)

List of Nationalities: **NA**

A3. BUSINESS OVERVIEW

Industry:	Other	Warehouse customs bonded:	No
Key/Main Product:	Manufacturing and exports of Wood, Metal & Stone Handicraft Items	Free trade zone:	No
Facility land size (in Sqm):	2500	Total facility floor size (in Sqm):	2000

Total Nr of Buildings	Distribution	Production	Warehouse	Container Yards	Others
3	0	3	0	0	0

A4. EXPORT LOGISTICS

PERCENTAGE OF GOODS EXPORTED TO US

By Air	By Sea	By Rail	By Truck
0	100	0	0

FACILITY RESPONSIBLE FOR THE RELATIONSHIP WITH THE FOLLOWING TYPE OF LOGISTICS

Air:	Never	Consolidators:	Sometimes
Sea:	Always	Freight Forwarders:	Sometimes
Rail:	Never	NVOCC:	Never
Land Carriers:	Sometimes	Other 3rd party logistics providers:	Always
The companies used vary routes:	No	The companies used Global Positioning Satellite (GPS):	No

The companies used employ security guards:	**No**	The companies used truck convoys:	**No**
The companies used provide vehicle escort:	**No**		

A5. GENERAL INFORMATION

Brief description of the facility:

Ashish Homes is located at G-87 to 94(A), RIICO Industrial Area Bagru (Exten.) Phase II, Jaipur, Rajasthan, India. Facility is established since June 2017. Total land area of the facility is 2500 square meter and covered area is around 2000 square meters. Facility deals in manufacturing and exports of Wood, Metal & Stone Handicraft Items. Facility is exporting in US and Europe market. Facility has employed 25 employees. Facility has installed 16 CCTV cameras. Facility Production process carried out in the facility are sourcing of Raw Materials (Wood) – Cutting – Finishing – Assembling - Packing – Dispatch. Facility operates in 3 buildings used for production activities. Building details are as mentioned below – Hall 1- Ground Floor – Office, Packing cum Packed goods storage, store, Office. First Floor – Showroom Hall - 2 Ground Floor – Finishing Hall - 3 Ground Floor – Material receiving and cutting Periphery: - Utility, Loading Point and Security Post. Facility has implemented documented security policy and procedure.Note: Visitor vehicles are not permitted inside the facility premises

Brief description of loading process for shipment:

All loading/stuffing done in presence of Security guard and Packing In-charge.

Brief description of sealing process:

All LCL (60%) shipments are stuffed in closed trucks after 7point inspection and secure with bolt seals and All FCL (40%) shipments are stuffed in container after 7point inspection and secured with RFID bolt seal.

Brief description of direct shipments to port process:

All shipments are handed over to Client's nominated shipping line / CHA/Transporter. The concern authorities send the shipment to forwarder at port.

In-country transport services detail:

RBT Logistics

Required transit time between audited facility to the port / the next supply chain:

Required transit time from facility to ICD Navasheva port is 50 to 55 hours and ICD Jaipur – 01 to 02.

CCTV details:

Facility has installed 16 CCTV cameras, which are covering Main gate, Finishing, Packing cum Packed Goods storage, Production, Office, Loading point and Periphery.

Security guard force details:

Facility has employed 04 security guards. These are posted at Gate and packing section.

B. RESULT DETAILS

The following section includes all findings noted during the on-site audit.

Security Vision and Responsibility					98% ■
RATING	Must - High	Must - Low	Should - High	Should - Low	Total
NEW NON-COMPLIANCES	0	0	0	1	1

New Non- Compliances

ID # O0002	Finding The Statement of Support is not part of the facility's security awareness training.	Rating Should - Low
% of occurrence at other facilities None	**Past occurrences at this facility** 1 time(s): 28-Sep-2022	
Auditor Comments Statement of Support is not part of the facility's security awareness training.		
Minimum Security Criteria In promoting a culture of security, CTPAT Members should demonstrate their commitment to supply chain security and the CTPAT Program through a statement of support. The statement should be signed by a senior company official and displayed in appropriate company locations.		

Conveyance and Instruments of International Traffic Security					91% ■
RATING	Must - High	Must - Low	Should - High	Should - Low	Total
NEW NON-COMPLIANCES	0	0	1	1	2

New Non- Compliances

ID # O0241	Finding The random searches are not conducted in various locations.	Rating Should - Low
% of occurrence at other facilities 11%	**Past occurrences at this facility** None	
Auditor Comments Random searches are not conducted in various locations.		
Minimum Security Criteria Based on risk, management personnel should conduct random searches of conveyances after the transportation staff have conducted conveyance/Instruments of International Traffic inspections.The searches of the conveyance should be done periodically, with a higher frequency based on risk. The searches should be conducted at random without warning, so they will not become predictable. The inspections should be conducted at various locations where the conveyance is susceptible: the carrier yard, after the truck has been loaded, and en route to the United States border.		

New Non- Compliances

ID # O0251	Finding The company does not have access to their carrier's GPS fleet monitoring system or track the movement of their shipments.	Rating Should - High
% of occurrence at other facilities 43%	**Past occurrences at this facility** 1 time(s): 28-Sep-2022	
Auditor Comments Facility does not have access to their carrier's GPS fleet monitoring system or track the movement of their shipments.		

Minimum Security Criteria
Shippers should have access to their carriers GPS fleet monitoring system, so they may track the movement of their shipments.

Physical Security					90% ■
RATING	Must - High	Must - Low	Should - High	Should - Low	Total
NEW NON-COMPLIANCES	0	0	2	0	2

New Non- Compliances

ID # O0381	Finding The company does not have an automatic intrusion detection or alarm system installed in sensitive/controlled access areas.	Rating Should - High
% of occurrence at other facilities 87%	Past occurrences at this facility 1 time(s): 28-Sep-2022	
Auditor Comments facility does not have an automatic intrusion detection or alarm system installed in all sensitive/controlled access areas. Automatic intrusion detection or alarm system installed on access point of packed carton storage area. No alarm system was installed at packing section access point.		
Minimum Security Criteria Security technology should be utilized to monitor premises and prevent unauthorized access to sensitive areas.		

New Non- Compliances

ID # O0422	Finding Recordings (e.g., tapes or electronic files) are not kept for a minimum of 30 days or according to client specific requirement, whichever is longer.	Rating Should - High
% of occurrence at other facilities 61%	Past occurrences at this facility 1 time(s): 28-Sep-2022	
Auditor Comments Facility has maintained only 07 days CCTV recording (05-Feb-2024 to 12-Feb-2024).		
Minimum Security Criteria If cameras are being used, recordings of footage covering key import/export processes should be maintained for a sufficient time for a monitored shipment to allow an investigation to be completed.		

Physical Access Controls					90% ■
RATING	Must - High	Must - Low	Should - High	Should - Low	Total
NEW NON-COMPLIANCES	0	0	2	0	2

New Non- Compliances

ID # O0449	Finding Advance notice is not required for a pick-up or delivery transport company (driver name, truck number, company, date and time of arrival, purpose of trip).	Rating Should - High
% of occurrence at other facilities 28%	Past occurrences at this facility 1 time(s): 28-Sep-2022	
Auditor Comments Advance notice is not required for a pick-up or delivery transport company.		

Minimum Security Criteria
Prior to arrival, the carrier should notify the facility of the estimated time of arrival for the scheduled pick up, the name of the driver, and truck number. Where operationally feasible, CTPAT Members should allow deliveries and pickups by appointment only.

New Non- Compliances

ID # O0452	Finding **There are no documented and/or implemented procedure to periodically screen arriving packages and mail prior to distribution.**	Rating Should - High
% of occurrence at other facilities 4%	Past occurrences at this facility None	
Auditor Comments There are no documented and/or implemented procedure to periodically screen arriving packages and mail prior to distribution.		
Minimum Security Criteria Arriving packages and mail should be periodically screened for contraband before being admitted.		

Education, Training and Awareness					90% ■
RATING	Must - High	Must - Low	Should - High	Should - Low	Total
NEW NON-COMPLIANCES	0	0	2	0	2

New Non- Compliances

ID # O0485	Finding **The company does not perform emergency response mock drills.**	Rating Should - High
% of occurrence at other facilities 41%	Past occurrences at this facility 1 time(s): 28-Sep-2022	
Auditor Comments Facility does not perform emergency response mock drills unannounced and no photos evidences attached.		
Minimum Security Criteria CTPAT Members should have measures in place to verify that the training provided met all training objectives.		

New Non- Compliances

ID # O0486	Finding **Security awareness assessment is not given annually to employees to gauge their understanding of the company's general security policy.**	Rating Should - High
% of occurrence at other facilities 23%	Past occurrences at this facility None	
Auditor Comments Security awareness assessment is not given annually to employees to gauge their understanding of the company's general security policy.		
Minimum Security Criteria CTPAT Members should have measures in place to verify that the training provided met all training objectives.		

C. BEST PRACTICES AND RECOMMENDED BEST PRACTICES

CBP describes Best Practices as innovative security measures that exceeds the CTPAT criteria and industry standards. For C-TPAT purposes, a best practice must meet all five of the following requirements, all of which are subject to verification: (1. Senior management support; 2. Innovative technology, process or procedures; 3. Documented process; 4. Verifiable evidence; and, 5. A regular system of checks, balances and accountability.)

Existing Best Practices:

1. Business Partners

- Not applicable

2. Cyber Security

- Not applicable

3. Conveyance and Instruments of International Traffic Security

- For conveyance entries/exits, logs are maintained with name of the guard.
- Photos of authorized employees are publicized to access the restricted areas posted in the work area to detect any unauthorized entry/access.

4. Seal Security

- Not applicable

5. Procedural Security

- Loaded containers/trailers' final inspection is conducted.

6. Agricultural Security

- Not applicable

7. Physical Security

- Not applicable

8. Physical Access Controls

- Security guards receive specific training in C-TPAT.

9. Personnel Security

- Not applicable

10. Education, Training and Awareness

- Not applicable

Recommended Best Practices:

1. Business Partners

- Not applicable
- The facility should conduct periodic table-top exercises to address security breaches within the supply chain and, if one doesn't already exist, create a "quick response team" to investigate suspicious activities discovered during cargo transportation.
- The facility should perform periodic audits of business partners accompanied by a third-party security firm (these audits may be performed without advance notice). The firm should provide a written assessment of business partners' adherence to C-TPAT minimum security criteria. If non-compliance is discovered, it could be sufficient grounds for terminating the business relationship.
- The facility should provide periodic security awareness training to all of the contractors.

2. Cyber Security

- The facility should equip computers with biometric retina scanners for authentication and identification purposes.

- The facility should install software on employees' laptops that allows management to delete information from hard drives from a remote location.

- The facility should require computer users to sign an agreement of liability for the use of the company's information systems, and renew these agreements each time a password change is initiated.

3. Conveyance and Instruments of International Traffic Security

- All operators of facilities and conveyances handling cargo should employ appropriate procedures to identify personnel authorized to enter cargo and document processing areas.

- The facility should use a dock locking arm to anchor the container chassis to the loading dock.

- The facility should use tamper-indicative security labels bearing an actual photo of the seal and a serial number, attached to the hinges and between the two doors of the vehicles.

4. Seal Security

- In addition to using a bolt seal, attach a cast iron J-bar device to the locking bar that requires a specialized tool for removal.

- The facility uses multiple ISO/PAS 17712 certified high security seals on all shipments bound to the U.S.

5. Procedural Security

- The facility should schedule all deliveries at distribution centers in advance using an online automated tool, and ensure that upon arrival, drivers provide security guards with shipment-linked delivery numbers for verification.

- The facility should use tamper-evident tape with serial numbers to seal cartons, and verify the serial numbers against the packing list when loading and at the final destination.

6. Agricultural Security

- Not applicable

7. Physical Security

- The facility should install a double-layered perimeter fence, creating a secure zone between the two fence lines. Ensure that both fences are equipped with electronic monitoring capabilities, and that the outer fence incorporates underground concrete to deter tunneling.

- The facility should maintain multiple alarm systems that include door contacts, heat and vibration sensors, and seismic movement detectors.

- The facility should position security guard that view towers at each corner of the facility perimeter with sightlines that permit views of activity inside and outside the facility. Make sure the towers are manned at all times.

- Vehicles should be prohibited/prevented from parking near perimeter fencing.

8. Physical Access Controls

- The facility should ensure that as employees enter the facility, their photos are displayed on an electronic access system monitor so that the security guard in the area can verify that the individual entering matches the photo displayed on the monitor.

- The facility should ensure that the exterior doors of buildings are equipped with two- person key systems that require a company manager and security guard to unlock the facility.

- The facility should Issue all visitors thermal-activated visitor ID badges featuring expiration marking/coloring that appears after eight hours.

9. Personnel Security

- Drug screening checks should be conducted.

- The facility should conduct exit interviews as a routine part of the employee termination process, with a counselor on hand to evaluate the likelihood that a terminated employee could pose a retaliation threat.

10. Education, Training and Awareness

- A security awareness assessment should be given annually to a random sample of employees to gauge their understanding of the company's general security policy.

- The facility should conduct a semi-annual security awareness training seminar for all U.S. based suppliers, customers, and other business partners.

- The facility should provide online training portal.

- The facility should require new employees to complete a multiple-module security training program. Web-based training should emphasize recognition of internal conspiracies, maintaining cargo security, facility access control, and mail handling procedures. Publish security updates via an intranet.

D. PERFORMANCE TREND ANALYSIS

Section Name	Current 12-Feb-2024	Last NA	First NA	Change (Current-Last)	Change (Current-First)
Security Vision and Responsibility	98	NA	NA	NA	NA
Risk Assessment	100	NA	NA	NA	NA
Business Partners	100	NA	NA	NA	NA
Cyber Security	100	NA	NA	NA	NA
Conveyance and Instruments of International Traffic Security	91	NA	NA	NA	NA
Seal Security	100	NA	NA	NA	NA
Procedural Security	100	NA	NA	NA	NA
Agricultural Security	NA	NA	NA	NA	NA
Physical Security	90	NA	NA	NA	NA
Physical Access Controls	90	NA	NA	NA	NA
Personnel Security	100	NA	NA	NA	NA
Education, Training and Awareness	90	NA	NA	NA	NA
Overall Score	89	NA	NA	NA	NA

▲ Advancers   ▬ Constant   ▼ Decliner

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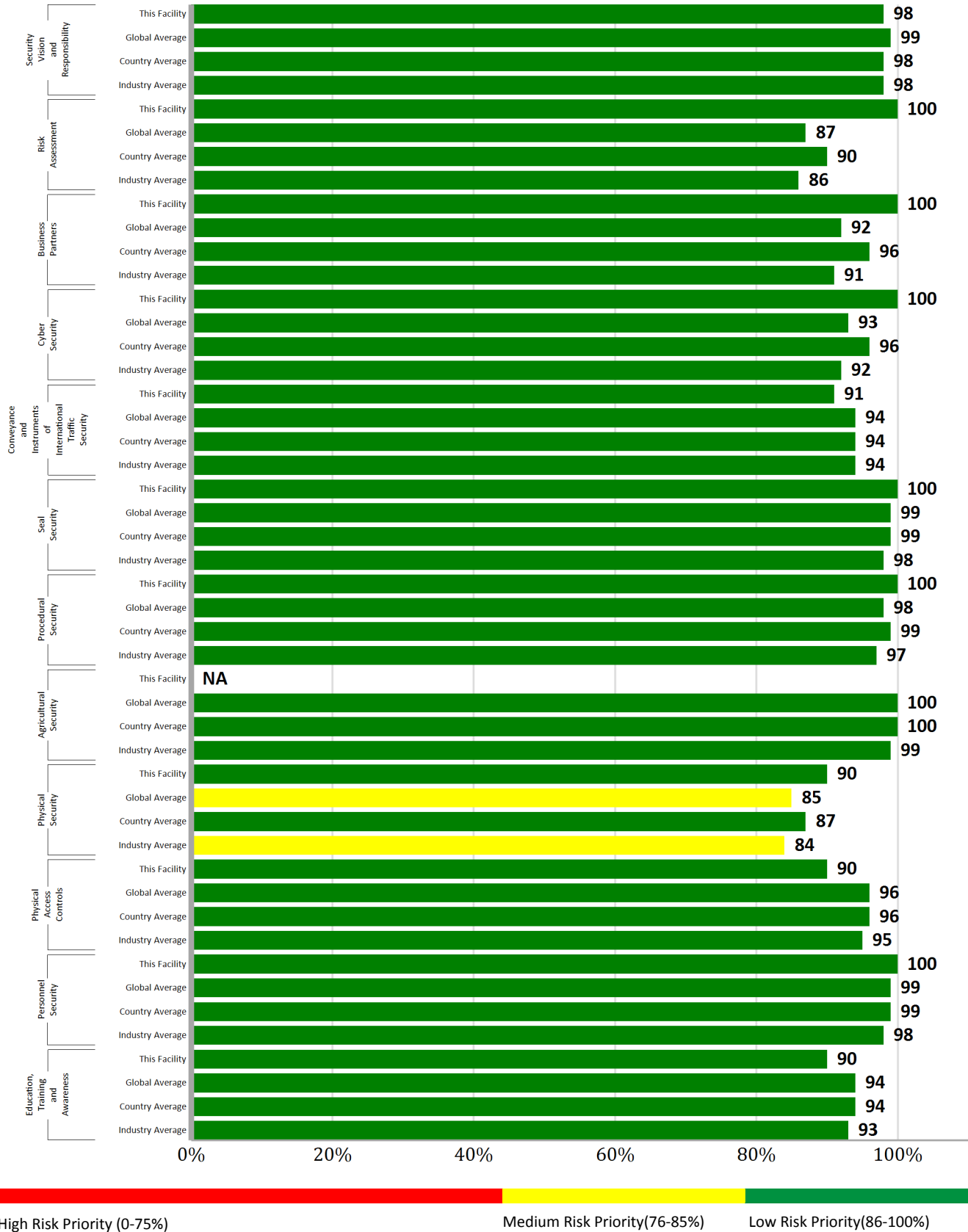
E. KEY STRENGTHS AND CHALLENGES

Criteria	Facility Strengths: Facility performance ranks in the top percentile of the population and/or has implemented a best practice process	Global Freq. of Compliance %
Compliant	The company has documented procedure to handle pest contamination during conveyance / IIT inspection and inspection documentation are kept for 12 months.	90%
Compliant	The company has written procedure for screening new business partners and for monitoring current partners.	90%
Compliant	VVTT seal verification process is followed to ensure all high security seals have been affixed properly to conveyances.	90%
Compliant	The company conducts audits of seals that includes periodic inventory of stored seals and reconciliation against seal inventory logs and shipping documents and all audits are documented.	91%
Compliant	Seal numbers are electronically printed on the bill of lading or other shipping documents.	91%
Compliant	Prior to loading/stuffing/packing, all conveyances and empty Instruments of International Traffic undergo CTPAT approved security and agricultural inspections to ensure their structures have not been modified to conceal contraband or have been contaminated with visible agricultural pests.	91%
Compliant	Risk assessments are reviewed annually, or more frequently as needed.	92%
Compliant	The CTPAT inspection process has written procedures for both security and agricultural inspections.	92%
Compliant	The company (that maintain seal inventories) uses high security seals and maintain document to demonstrate that they use either meet or exceed the most current ISO 17712 standard.	92%
Compliant	Digital photographs of the affixed seals on containers or trailers are taken at the point of stuffing. The images are sent electronically to customers and copies properly maintained by the company for verification purposes.	93%

Criteria	Facility Challenges: Facility performance ranks in the bottom percentile of the population	Global Freq. of Compliance %
Should - High	The company does not have an automatic intrusion detection or alarm system installed in sensitive/controlled access areas.	89%
Should - High	Recordings (e.g., tapes or electronic files) are not kept for a minimum of 30 days or according to client specific requirement, whichever is longer.	62%
Should - High	The company does not have access to their carrier's GPS fleet monitoring system or track the movement of their shipments.	44%
Should - High	The company does not perform emergency response mock drills.	43%
Should - High	Advance notice is not required for a pick-up or delivery transport company (driver name, truck number, company, date and time of arrival, purpose of trip).	28%
Should - High	Security awareness assessment is not given annually to employees to gauge their understanding of the company's general security policy.	24%
Should - Low	The random searches are not conducted in various locations.	9%
Should - Low	The Statement of Support is not part of the facility's security awareness training.	5%
Should - High	There are no documented and/or implemented procedure to periodically screen arriving packages and mail prior to distribution.	4%

F. COMPARISON BENCHMARK

Category	Measure	Value
Security Vision and Responsibility	This Facility	98
	Global Average	99
	Country Average	98
	Industry Average	98
Risk Assessment	This Facility	100
	Global Average	87
	Country Average	90
	Industry Average	86
Business Partners	This Facility	100
	Global Average	92
	Country Average	96
	Industry Average	91
Cyber Security	This Facility	100
	Global Average	93
	Country Average	96
	Industry Average	92
Conveyance and Instruments of International Traffic Security	This Facility	91
	Global Average	94
	Country Average	94
	Industry Average	94
Seal Security	This Facility	100
	Global Average	99
	Country Average	99
	Industry Average	98
Procedural Security	This Facility	100
	Global Average	98
	Country Average	99
	Industry Average	97
Agricultural Security	This Facility	NA
	Global Average	100
	Country Average	100
	Industry Average	99
Physical Security	This Facility	90
	Global Average	85
	Country Average	87
	Industry Average	84
Physical Access Controls	This Facility	90
	Global Average	96
	Country Average	96
	Industry Average	95
Personnel Security	This Facility	100
	Global Average	99
	Country Average	99
	Industry Average	98
Education, Training and Awareness	This Facility	90
	Global Average	94
	Country Average	94
	Industry Average	93

High Risk Priority (0-75%) Medium Risk Priority(76-85%) Low Risk Priority(86-100%)

FACILITY PHOTOS

Facility Name

Facility Name

Main Gate

Main Gate

Loading Area

Loading Area

Packing Area

Packing Area

Perimeter Fencing

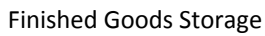
Perimeter Fencing

Perimeter Fencing

Perimeter Fencing

Perimeter Fencing

Finished Goods Warehouse

Finished Goods Storage

Container Inspection and Manifest Record
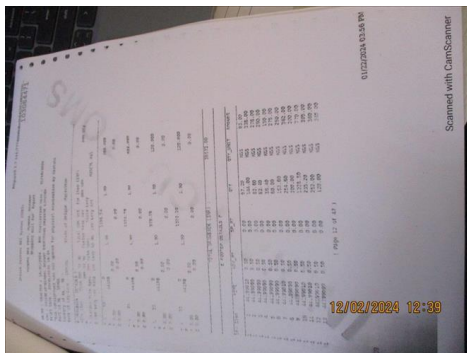

Container Inspection Record

Container Inspection Photos/Records

Container Inspection Photos

Computer Security

Computer Security

Shipping Documents

Shipping Documents
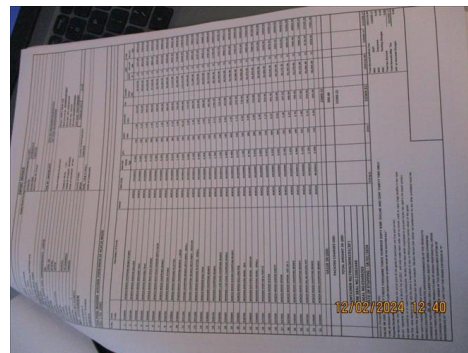
Shipping Documents
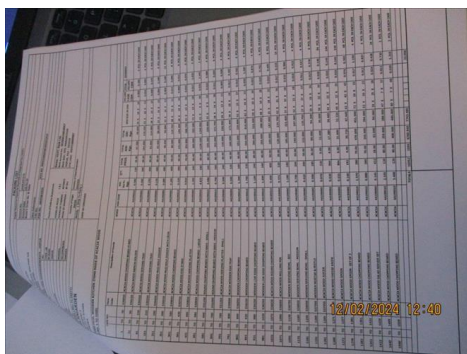
Shipping Documents

Shipping Documents

Shipping Log
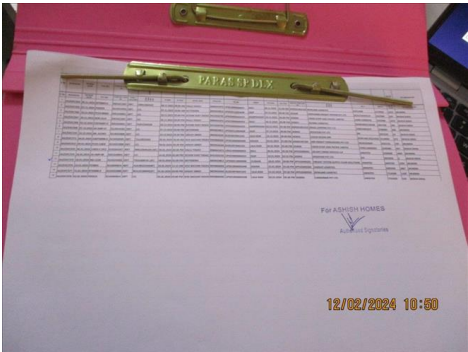
Shipping Log

Shipment Security Seal

Shipment Security Seal

Shipment Security Seal

Affixed Seal On Container

Affixed Seal On Container

Oldest CCTV Recording

Oldest CCTV Recording

CCTV

CCTV Camera

CCTV Camera

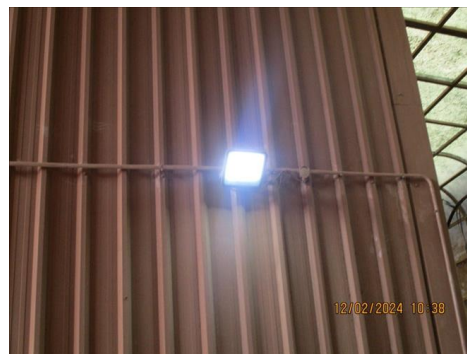CCTV Camera

CCTV Monitoring

CCTV Monitoring

Security Room

Security Post

Outside Lighting
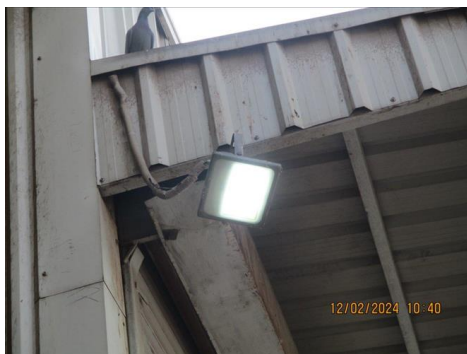
Outside Lightings

Outside Lightings

Outside Lightings

Communication Equipment

Communication Equipment

Security Guard with Uniform

Security Guard with Uniform

Employee with ID Badge
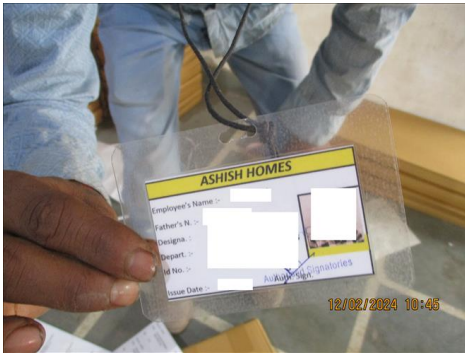
Employee with ID Badge

Visitor's Card

Visitor's Card

Overview

Overview

Best Practices

List of Authorized Employees
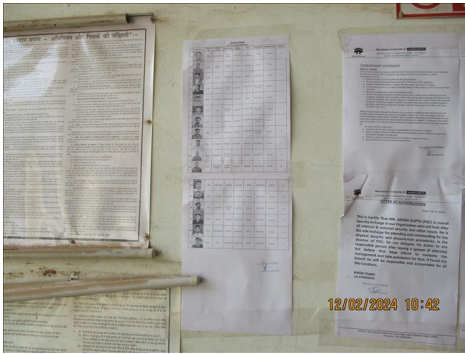
Global Security Verification Report, M/s ASHISH HOMES

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